



(Use as many sheets as necessary)

Complete if Known

Application Number	10/613,627 ✓
Filing Date	07/02/2003
First Named Inventor	Mitchem, W. Jeffrey
Art Unit	2664 2616
Examiner Name	Unknown AFSAR M. QURESHI
Attorney Docket Number	233-536-CIP

Sheet	1	of	1
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Examiner Signature	/Afsar Qureshi/	Date Considered	03/14/2007
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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PTO/SB/08A (10/01) (Substitute for form 1449A/PTO)		ATTY. DOCKET NO. McD118 CIP Client/Matter No. 83629.0037.001		APPLICATION NO. 10/613,627	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		FIRST NAMED INVENTOR W. Jeffrey MITCHEM			
Sheet 1 of 10		FILING DATE July 2, 2003		ART UNIT 2616	

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Examiner Initials	Cite No.	Document No. No. - Kind Code	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/AQ/ 		US-4,817,080	03/28/1989	Sohn	
		US-4,975,906	12/04/1990	Takiyasu et al.	
		US-5,077,763	12/31/1991	Gagnoud et al.	
		US-5,206,888	04/27/1993	Hiraguchi et al.	
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		US-5,390,188	02/14/1995	Dawson	
		US-5,548,590	08/20/1996	Grant et al.	
		US-5,561,662	10/01/1996	Kakuma et al.	
		US-5,610,745	03/11/1997	Benett	
		US-5,761,191	06/02/1998	VanDervort et al.	
		US-5,894,481	04/13/1999	Book	
		US-6,044,400	03/28/2000	Golan et al.	
	/AQ/	US-6,061,358	05/09/2000	Nelson et al.	

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						YES	NO


OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published

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	FIRST NAMED INVENTOR W. Jeffrey MITCHEM	
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/AQ/ 		US-6,079,034	06/02/2000	Van Zante et al.	
		US-6,138,185	10/24/2000	Nelson et al.	
		US-6,148,004 ✓	11/14/2000	Nelson et al.	
		US-6,345,041 B1	02/05/2002	Kimball et al.	
		US-5,428,616	06-1995	Field et al.	

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/AQ/ 		Brocade Silkorm switches (with Stitch ASIC) (sold by Brocade Communications Systems since early 1977). [NOT LITERATURE]
		Brocade Silkorm switches (with Flannel ASIC) (sold by Brocade Communications Systems since approximately September 1988). [NOT LITERATURE]
		McDATA ES 2500. [NOT LITERATURE]
		Cisco Catalyst switches (sold by Cisco since at least mid-1997). [NOT LITERATURE]
		Madge Visage switches (sold by Madge Networks and its LANNET subsidiary since at least 1997). [NOT LITERATURE]
/AQ/		Bay Networks Baystack 300 series switches (sold by Bay Networks since at least July 1997). [NOT LITERATURE]

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		FIRST NAMED INVENTOR W. Jeffrey MITCHEM			
Sheet 3 of 10		FILING DATE July 2, 2003		ART UNIT 2616	

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/AQ/		US-5,859,835	01-1999	Varma et al.	
/AQ/		US-5,519,695	05-1996	Purohit et al.	

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/AQ/	Bay Networks Armon and Optivity Analysis Software (sold by Bay Networks since at least 1996). [NOT LITERATURE]
	Extreme Networks Summit switches (sold by Extreme Networks since at least July 1997). [NOT LITERATURE]
	Gadzoox Networks Gibraltar Fibre Channel Managed Hubs (sold by Gadzoox Networks, Inc. since at least 1997). [NOT LITERATURE]
	Gadzoox Networks Denali Fibre Channel Area Switch (sold by Gadzoox Networks since at least 1997). [NOT LITERATURE]
	Cajun Switches (sold by Lucent Technologies, LANNET, Agile, and/or Prominent Corp. since at least 1997). [NOT LITERATURE]
	Cajun View Suite (sold by Prominent and/or Lucent since at least Oct. 1998). [NOT LITERATURE]
	Montage (sold by LANNET and/or Lucent since at least Oct. 1998). [NOT LITERATURE]
	Ascend B-STDx frame relay/ATM switches; and CBX 500 and GX 550 ATM switches (sold by Lucent and/or Ascend Since at least 1997). [NOT LITERATURE]
	Ascend Management software (sold by Ascend and/or Lucent since at least Oct. 1998). [NOT LITERATURE]
	Alteon AceSwitch 100 (sold by Alteon since at least 1996). [NOT LITERATURE]
/AQ/	Ipsilon IP Switch ATM 1600 Switch (sold by Ipsilon Networks since at least 1996). [NOT LITERATURE]

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	FIRST NAMED INVENTOR W. Jeffrey MITCHEM	
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/AQ/		US-6,157,654	12-2000	Davis	
/AQ/		US-5,253,251	10-1993	Aramaki	

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/AQ/		Intel 510T Switch (sold by Intel Corp. since at least Feb. 1998). [NOT LITERATURE]
		3 Com SuperStack2 LAN Switch Transcend LANSentry Manager (sold by 3Com Corp. since at least 1997). [NOT LITERATURE]
		3 Com Transcend LANSentry Manager (sold by 3Com since at least 1997). [NOT LITERATURE]
		Ancor SANBox-8 Switch (sold by Ancor Communications since at least 1998). [NOT LITERATURE]
		Ancor GigWorks MKII (sold by Ancor Communications since at least 1997). [NOT LITERATURE]
		Vixel Switches and SAN Management Software (sold by Vixel Corp.). [NOT LITERATURE]
		FC/9000 (sold by InRange Technologies). [NOT LITERATURE]
		Hewlett-Packard NetMatrix Enterprise Manager 4.7 (sold by Hewlett-Packard since at least 1997). [NOT LITERATURE]
		MeterWare (sold by Technically Elite and/or Hfn since at least 1996). [NOT LITERATURE]
		ACD82024 (sold by Advanced Communication Devices since at least 1997). [NOT LITERATURE]
/AQ/		Switched Networks: Meeting the Management Challenge - A Technology Brief on SMON, A Lannet Switch Monitoring Management Application (1998), available at http://www.cedpa.k-12.org/databus-issues/v38n3/v38n3-inset.pdf .

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		FIRST NAMED INVENTOR W. Jeffrey MITCHEM			
Sheet 5 of 10		FILING DATE July 2, 2003		ART UNIT 2616	

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/AQ/		US-6,259,695 B1	07-2001	Ofek	

FOREIGN PATENT DOCUMENTS						
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/AQ/		Ipsilon Networks, IP Switching: The Intelligence of Routing, the Performance of Switching, An Ipsilon Networks White Paper, (February 1996).
		RMON, Catalyst Workgroup Switch, Cisco Systems White Paper, (December 21, 1994), available at http://www.cisco.com/warp/public/614/4.html .
		Switched Networks, Meeting the Challenge; A Technology Brief on SMON, The Lannet Switch Monitoring Management Application, Prepared for CEDPA (March 1998), available at http://www.cedpa-k12.org/databus-issues/v38n3/v38n3-insert.pdf .
		A. Khan, Design and Implementation of a Software Bridge with Packet Filtering and Statistics Collection Functions, INTERNATIONAL JOURNAL OF NETWORK MGMT., 1997, at 251. [LITERATURE NOT AVAILABLE]
		ADTECH, Inc. ATM Generator/Analyzer Advertisement, IEEE Network, May 1995.
		Alex Gillespie, Management of ATM Port Relay Systems, IEEE TELECOMMUNICATIONS CONFERENCE Nov. 1995, at 140. [LITERATURE NOT AVAILABLE]
		Alfred Weaver & James McNabb, A Real Time Monitor for Token Ring Networks, IEEE MILCOM '89, 1989, at 794.
		Bob Wallace, Switches Process Data at Ports for Faster Throughput, INFOWORLD, Mar. 20, 1995, at 48. [LITERATURE NOT AVAILABLE]
		Chang-Hoi Koo, An Evaluation of Output Strategy with Separated Buffer in ATM Switching (Quality Service Lines), SPIE, 1997, at 524. [LITERATURE NOT AVAILABLE]
		Chung-Sheng Li et al, A Hybrid Multilevel Control Scheme for Supporting Mixed Traffic in Broadband Networks, IEEE JOURNAL ON SELECTED AREAS IN COMMUNICATIONS, Feb. 1996, at 306.
/AQ/		Eduardo Magana et al. PROMIS: A Reliable Real-Time Network Management Tool for Wide Area Networks, IEEE 24 th EUROMICRO CONFERENCE, Aug. 1998, at 581.

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	FIRST NAMED INVENTOR W. Jeffrey MITCHEM	
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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/AQ/		Elwin Stelzer & Timothy Gonsalves, Embedding RMON in Large LAN Switches, IEEE NETWORK, Jan./Feb. 1999, at 63.
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		Hiroshi Inai & Jiro Yamakita, An Address-Based Queue Mechanism for Shared Buffer ATM Switches with Multicast Function, IEICE TRANSACTIONS ON COMMUNICATIONS, Jan. 1998, at 104.
		Hiroyuki Yamada et al., 20.8 Gb/s GaAs LSI's Self-Routing Switch for ATM Switching Systems, IEEE JOURNAL OF SOLID-STATE CIRCUITS, Jan. 1997, at 31.
/AQ/		Intae Ryoo et al. A Real-Time Integrated Traffic Management Scheme in ATM Networks: Design and Simulation, IEEE, 1996, at 1271.

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	FIRST NAMED INVENTOR W. Jeffrey MITCHEM	
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		Jim Duffy, Axon Unveils RMON Probe for Shared-Media Switched Nets, NETWORK WORLD, Apr. 20, 1995, at 22. [LITERATURE NOT AVAILABLE]
		Jodi Cohen, LANNET Extends RMON to Watch Over Switches, NETWORK WORLD, Jun. 5, 1995, at 10. [LITERATURE NOT AVAILABLE]
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		John McConnell, RMON METHODOLOGY: TOWARDS SUCCESSFUL DEPLOYMENT FOR DISTRIBUTED ENTERPRISE MANAGEMENT (2d ed. 1997).
		John McHenry, et al., An FPGA-Based Coprocessor for ATM Firewalls, FPCCM, 1997, at 30.
		Kazunari Irie et al., Large Capacity Multiplex-Port Brouter with SDH Interface for Regional PC Communication Network System and its Performance, Long-Haul, ATM and Multi-Media Networks (D.W. Faulkner & A.L. Harmer eds, IOS Press 1998), at 110.
		Kazunari Irie et al., Large Capacity Multiplex-Port Brouter with SDH Interface for Regional PC Communication Network System, IEEE, 1997, at 1602. [LITERATURE NOT AVAILABLE]
		Kimberly Caisse, Lucent Deploys Cajun Campus-Line, COMPUTER RESELLER NEWS, Oct. 19, 1998, at 28. [LITERATURE NOT AVAILABLE]
		Lakshmi Raman, OSI Systems and Network Management, IEEE COMMUNICATIONS MAGAZINE, Mar. 1988, at 46.
/AQ/		Lucent Technologies, Cajun Campus Solutions, Oct. 1999.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Sheet 8 of 10		FIRST NAMED INVENTOR W. Jeffrey MITCHEM				
		FILING DATE July 2, 2003		ART UNIT <div style="font-size: 1.2em; font-family: cursive;">2616</div>		
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		Lucent Technologies, Inc., SMON: Setting the Standard (April 1998).				
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		Madge Networks: ETHERNET SWITCHING IN THE VISUAL STACKABLE SWITCH: A TECHNOLOGY WHITE PAPER (June 1997). [LITERATURE NOT AVAILABLE]				
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		Madge Networks: MADGE LANSWITCH PLUS LFE- 4004 FAST ETHERNET SWITCH (1997). [LITERATURE NOT AVAILABLE]				
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		Michael S. Schroeder et al., Autonet: A High Speed, Self-Configuring Local Area Network Point-to-Point Links, IEEE JOURNAL OF SELECTED AREAS IN COMMUNICATIONS, October 1991, at 1318.				
		Nevil Brownlee, NETRAMET 4.1 USERS' GUIDE: RELEASE NOTES VER. 4.1(Univ. of Auckland, New Zeland Nov. 1997).				
		O. Tigli and G.M. Chaudhry, Wrap-Around Type Switch for ATM, ELECTRONICS LETTERS, Mar. 27, 1997 at 567.				
/AQ/		Patrick Dryden, Vendors Tout RMON Tools, COMPUTERWORLD, Apr. 10, 1995, at 59. [LITERATURE NOT AVAILABLE]				
EXAMINER SIGNATURE <div style="font-family: cursive; font-size: 1.1em;">/Afsar Qureshi/</div>				DATE CONSIDERED <div style="font-family: cursive; font-size: 1.1em;">03/14/2007</div>		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		FIRST NAMED INVENTOR W. Jeffrey MITCHEM			
Sheet 10 of 10		FILING DATE July 2, 2003		ART UNIT 2616	

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document No. No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published
/AQ/		Fabric OS™ Procedures Guide Version 3.0, BROCADE COMMUNICATIONS SYSTEMS, INCORPORATED, Publication Number 53-0000128-03, 2001.
		Brocade® Zoning User's Guide Version 3.0, BROCADE COMMUNICATIONS SYSTEMS, INCORPORATED, Publication Number 53-0000135-03, 1999, 2000, 2001.
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V		Brocade Web Tools™ User's Guide Version 3.0, BROCADE COMMUNICATIONS SYSTEMS, INCORPORATED, Publication Number 53-0000130-03, 2000, 2001.
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